Docket No.

206228US0 PCT

#### IN THE UNITED STA ES PATENTAND TRADEMARK OFFICE

IN RE APPLICATION OF:

SERIAL NO: 09/807,425 Satoshi NIYAMA, et al.

GAU:

1714

FILED:

July 3, 2001

**EXAMINER:** 

FOR:

LIQUID CRYSTAL OPTICAL ELEMENT AND METHOD FOR ITS PRODUCTION

# INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFŔ 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

### REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### **RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### **CERTIFICATION**

- □ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

■ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT. P.O.

RECEIVED

MAY 3 0 2002

TC 1700

Norman F. Oblon Registration No.

24,618

22850

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## **LIST OF RELATED CASES**

Docket Number	Serial or Patent No.	Filing or Issue Date	Status or Patentee
0059-1018-0 CONT	6,018,378	01/25/00	HIRAI, et al.
0059-0647-0	5,216,531	06/01/93	HIRAI, et al.
0059-0642-0	5,103,327	04/07/92	HIRAI, et al.
206228US0 PCT*	09/807.425	07/03/01	PENDING

<sup>\*</sup>Present application; listed for information.